**Insider Trading Prevention Measures**

**1. Management Guidelines for Preventing Insider Trading：**

The company referred to the "Internal Material Information Processing Procedures" issued by the Taiwan Stock Exchange Co., Ltd., and on July 6, 2011, the first session of the fifth board of directors passed the formulation of the "[Rules and Procedures of to prevent insider trading](http://www.aerowin.com/en/pdf/04-11.doc)" as the company's The conduct of directors, managers, and persons who comply with insider trading regulations must abide by the Code, which clearly stipulates that the aforementioned personnel shall comply with laws, regulations, and orders including insider trading laws. Over the years, it has been continuously revised in line with laws and practical operations (the latest revision was adopted by the 14th session of the eighth session of the Board of Directors on November 7, 2022). This code has been posted on the company's bulletin board and the " Major Internal Policies " on the company's official website (http://www.aerowin.com) for all colleagues to follow in order to avoid violations or insider trading.

**2. Implementation situation：**

(1) The company conducts education and publicity on the "Rules and Procedures of to prevent insider trading" and related laws and regulations to directors, managers and employees at least once a year. Timely education and promotion will be provided to new directors, managers and employees.

(2) Provide the company's "[Rules and Procedures of to prevent insider trading](http://www.aerowin.com/en/pdf/04-11.doc)" to all directors.

(3) The Company also publicizes measures to prevent insider trading when it sends monthly shareholding change notification notices to managers.

(4) The company has revised the "Administrative Measures for the Prevention of Insider Trading" on November 7, 2022, which stipulates that directors are not allowed to trade their stocks during the closed period of thirty days before the announcement of the annual financial report and fifteen days before the announcement of the quarterly financial report.

(5) The company notified the directors by email on November 7, 2023, of the 2024 board meeting schedule (6 times in total), as well as the closed period before the announcement of each quarter's financial report, to assist the directors in implementing corporate governance standards, and the closed period before the announcement of the financial report. Trading of its shares will be suspended during this period.

Notice during the closed period before the announcement of the company's annual financial report and quarterly financial report:

|  |  |  |  |
| --- | --- | --- | --- |
| Notification date | Board of Directors | | Remark |
| meeting date | session |
| 2024/1/3 | 2024/2/23 | The 3nd meeting of the 9th Board of Directors | Submit the company's 2023 financial statements |
| 2024/4/3 | 2024/5/13 | The 6nd meeting of the 9th Board of Directors | Submission of the company's financial statements for the first quarter of 2024 |
| 2024/7/5 | 2024/8/12 | The 8nd meeting of the 9th Board of Directors | Submission of the company's financial statements for the second quarter of 2024 |
| 2024/10/4 | 2024/11/11 | The 9nd meeting of the 9th Board of Directors | Submission of the company's financial statements for the third quarter of 2024 |
| 2025/1/3 | 2025/2/21 | The 11nd meeting of the 9th Board of Directors | Submit the company's 2024 financial statements |